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# CERTIFICATE OF MAILING

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on March 6, 2002

Gloria L. Knox  
Gloria L. Knox

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In re application of: **Barber et al.**

Art Unit: 2771

U.S. Serial Number: 09/517,366

Examiner: To Be Assigned

Filing Date: March 2, 2000

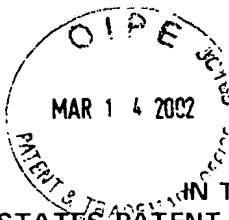
Our Reference Number: 10970975-1

Title: System and Method for Establishing a Secure Execution Environment for a Software Process

The following is a list of documents enclosed:

Return Postcard  
Supplemental Information Disclosure Citation (Form PTO-1449)  
Information Disclosure Statement;  
One Cited Prior Art Reference

Further, the Commissioner is authorized to charge Deposit Account No. 08-2025 for any additional fees required. The Commissioner is requested to credit any excess fee paid to Deposit Account No. 08-2025.



IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

#3  
3/22/02  
AW

Inventor(s): Barber et al.

Confirmation No.:

Application No.: 09/517,366

Examiner:

Filing Date: March 2, 2000

Group Art Unit: 2771

Title: System and Method for Establishing a Secure Execution Environment for a Software Process

COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

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Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or  
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97 (c) together with either a:  
☐ Statement under 37 CFR 1.97(e), or  
☐ a \$180.00 fee under 37 CFR 1.17(p), or  
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97 (d) together with a:  
☐ Statement under 37 CFR 1.97(e), and  
☐ a petition under 37 CFR 1.97(d)(2), and  
☐ a \$180.00 petition fee set forth in 37 CFR 1.17(p).  
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Please charge to Deposit Account 08-2025 the sum of \$0.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 08-2025 pursuant to 37 CFR 1.25.

☒ Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

☐ A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individuals(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

Respectfully submitted,

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit: March 14, 2002

Typed Name: Gloria L. Knox

Signature: Gloria L. Knox

Barber et al.

By: [Signature]

Michael J. Tempel

Attorney/Agent for Applicant(s)  
Reg. No. 41,344

Date: 03/06/02